



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/841,420 04/24/2001 Takuji Himeno 450100-03175

CONFIRMATION NO. 5459

FORMALITIES LETTER

William S. Frommer, Esq. FROMMER LAWRENCE & HAUG LLP 745 Fifth Avenue New York, NY 10151

Date Mailed: 06/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/08/2001 SMINASS1 00000044 09841420

01 FC:105

130.00 OP





sector \$/

PATENT 450100-03175

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Takuji HIMENO et al.

Serial No.

09/841,420

Filed

April 24, 2001

For

RECORDING APPARATUS AND METHOD, PLAYBACK

APPARATUS AND METHOD, AND RECORDING MEDIUM

THEREFOR

Art Unit

2615

745 Fifth Avenue

New York, New York 10151

Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on August 1, 2001

Name of Applicant, Assignee or Resistered Topresentive

August 1, 2001 Date of Signature

COMMUNICATION

Assistant Commissioner for Patents
Attn: Rox Missing Parts

Attn: Box Missing Parts Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File Missing Parts of Application" (form PTO-1533) dated June 18, 2001 on the above application, a Declaration duly signed by the inventors, and a check in the amount of the required \$130.00 surcharge. By separate cover, we are filing the Assignment and the \$40.00 fee for recording same.

PATENT 450100-03175

Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP Attorneys for Applicants

By: Jours

Reg. No. 38,511

Tel. (212) 588-0800

Enclosure